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MAR 22 2004

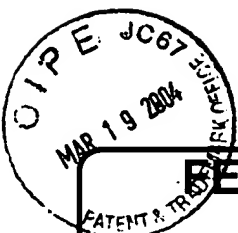
2633

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No. <b>TC 2600</b> 651,140	
		Filing Date	August 30, 2000 <b>RECEIVED</b>
		First Named Inventor	Wenbin Jiang
		Art Unit	2633 <b>MAR 22 2004</b>
		Examiner Name	Agustin Bello <b>Technology Center</b>
Total Number of Pages in This Submission	9	Attorney Docket Number	3918P002XX1

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Notice of Information Submitted in Incorrect Application by Third Party</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William E. Alford, Reg. No. 37,764 <b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b>
Signature	
Date	March 16, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Susan McFarlane		
Signature		Date	March 16, 2004



# FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

0

Complete if Known

Application Number 09/651,140

Filing Date August 30, 2000

First Named Inventor Wenbin Jiang

Examiner Name Agustin Bello

Art Unit 2633

Attorney Docket No. 3918p002xx1

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number

02-2666

Deposit Account Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent Claims						
Multiple Dependent						

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

## SUBMITTED BY

Name (Print/Type)

William E. Alford

Registration No.  
(Attorney/Agent)

37,764

Telephone

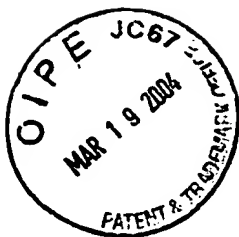
(714) 557-3800

Signature

Date

03/16/04

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (w/r) 02/10/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



#15 *Letter*  
*Smw* 3-27-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/651,140 Confirmation No. 1297  
Applicant : Wenbin Jiang  
Filed : 08/30/2000  
TC/A.U. : 2633  
Examiner : Agustin Bello  
Title : Method and Apparatus for Parallel Optical Interconnection of  
Fiber Optic Transmitters, Receivers and Transceivers

Docket No. : 003918.P002XX1  
Customer No. : 8791

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Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

MAR 22 2004  
Technology Center 2600

NOTICE OF INFORMATION SUBMITTED IN INCORRECT APPLICATION  
BY THIRD PARTY

Dear Sir:

In the Office Action mailed on 09/29/2003, a number of FORM PTO-1449s, "Information Disclosure Statement by Applicant", were returned to the Applicant with the Examiner's initials. Applicant respectfully thanks the Examiner for such consideration.

However, one of the returned FORM PTO-1449 was submitted into the above referenced patent application in error by a third party. Attached hereto as Exhibit 1 is the returned FORM PTO-1449 that was submitted in error by a third party. The Applicant of the above referenced patent application did not submit the FORM PTO-1449 of Exhibit 1.

The FORM PTO-1449 of Exhibit 1 indicates that the first named inventor is "Lars Friedrich" of a patent application having a filing date of 08/31/2000. The Serial No. 09/651,140 has been typed in error on the FORM PTO-1449, as it corresponds to the above referenced patent application.

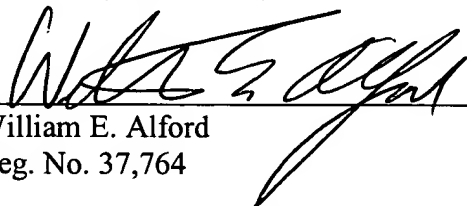
Searching the USPTO database with the filing date and inventor information, it can be ascertained that the FORM PTO-1449 attached hereto as Exhibit 1 should have been submitted in patent application No. 09/651,840. There is a single digit error in the serial number typed on the FORM PTO 1449 of Exhibit 1.

Attached hereto as Exhibit 2 is the front page of U.S. Patent No. 6,466,362 B1 that issued from patent application No. 09/651,840. U.S. Patent No. 6,466,362 B1 indicates that the inventor is "Lars Friedrich" and a filing date of 08/31/2000 for patent application No. 09/651,840 that corresponds to the information on the FORM PTO-1449 attached hereto as Exhibit 1.

As FORM PTO-1449 attached hereto as Exhibit 1 and its cited references appears to have been considered by the Examiner, and U.S. Patent No. 6,466,362 B1 has issued, no further action need be taken by the Office. This Notice is to make a record in the file that the FORM PTO-1449 attached hereto as Exhibit 1 was submitted in error by a third party into the above referenced patent application and not by the true Applicant for patent.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 16, 2004

  
\_\_\_\_\_  
William E. Alford  
Reg. No. 37,764

CERTIFICATE OF MAILING

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on:*  
March 16, 2004.

  
\_\_\_\_\_  
Susan McFarlane

3/16/04  
Date